

Direct Owners/Executive Officers

Organization CRD Number: 8209

Organization Name: MORGAN STANLEY & CO. LLC

Organization SEC Number: 8-15869

Applicant Name: MORGAN STANLEY & CO. LLC

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Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
MACEJKA, PATRICK NMN	Individual	DIRECTOR	06/2023	Less than 5%	Y	N	2139145
KRAUSE, GARD J	Individual	CHIEF COMPLIANCE OFFICER	06/2023	Less than 5%	Y	N	2284628
STERN, MICHAEL A	Individual	DIRECTOR	02/2015	Less than 5%	Y	N	4939073
WRONSKI, STEPHEN	Individual	TEXAS DESIGNATED PRINICIPAL	07/2023	Less than 5%	Y	N	2281867
ASSOMULL, MOHIT ASHOK	Individual	DIRECTOR	02/2013	Less than 5%	Y	N	3103550
MORGAN STANLEY CAPITAL MANAGEMENT, LLC	Domestic Entity	MEMBER	08/2023	75% or more	Y	N	11-3658445
BHAIMIA, ISMAIL OMAR	Individual	DIRECTOR	05/2019	Less than 5%	Y	N	6264162
FAULKNER, JOHN H	Individual	GENERAL COUNSEL AND SECRETARY	06/2009	Less than 5%	Y	N	2454537
LYNN, GARY MICHAEL	Individual	PRINCIPAL FINANCIAL OFFICER	01/2017	Less than 5%	Y	N	2162411
BERKE, MATTHEW EVAN	Individual	CHAIRMAN, PRESIDENT, CHIEF EXECUTIVE OFFICER, AND DIRECTOR	11/2018	Less than 5%	Y	N	2524594
HIRSHORN, WILLIAM DAVID	Individual	PRINCIPAL OPERATIONS OFFICER	11/2022	Less than 5%	Y	N	1564223